SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Ir

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

	~
Check this box if no longer subject to	S
Section 16. Form 4 or Form 5	
obligations may continue. See	
nstruction 1(h)	

TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre	1 0	Person*	2. Issuer Name and Ticker or Trading Symbol <u>Eiger BioPharmaceuticals, Inc.</u> [EIGR]		tionship of Reporting Pe	rson(s) to Issuer
WELCH JAI	<u>MES H</u>				Director Officer (give title	10% Owner Other (specify
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/23/2016		below) Chief Financia	below)
350 CAMBRIDGE AVE, SUITE 350						
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	ridual or Joint/Group Filir	ng (Check Applicable
PALO ALTO	CA	94306		X	Form filed by One Rep	porting Person
(City)	(State)	(Zip)	—		Form filed by More that Person	an One Reporting
		Table I - Non-De	rivative Securities Acquired Disposed of or Bene	ficially (Owned	

1. Title of Security (Instr. 3)	2. Transaction Date	2A. Deemed Execution Date,	Transaction	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect							
	(Month/Day/Year)	if any	Code (Instr.	5)	Beneficially	(D) or Indirect	Beneficial							
		(Month/Day/Year)	8)	·	Owned Following	(I) (Instr. 4)	Ownership							

		(Month/Day/Year)	8)	insti.	5)	-		Owned Following Reported	(I) (Instr. 4)	Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150.4)
Table II - I	Derivative Sec	curities Acqui	red. D	ispo	sed of. or	Benef	iciallv O	wned		

(e.g., puts, calls, warrants, options, convertible securities)

											,				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of I		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$15.89	03/23/2016		A	v	57,571		(1)(2)	03/23/2026	Common Stock	57,571	\$0.00	57,571	D	

Explanation of Responses:

1. The option will vest as to 25% of the option shares on March 23, 2017 and vest as to the remaining shares in successive equal monthly installments for the subsequent 36 months subject to Reporting Person's continuous service status as of each such date.

2. The option is subject to shareholder approval of an amendment to the Celladon Corporation 2013 Equity Incentive Plan. This is a voluntary early filing.

Remarks:

/s/ James H. Welch

** Signature of Reporting Person

03/28/2016

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.