# SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>LABA REBECQUE J</u>			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Celladon Corp</u> [ CLDN ]		tionship of Reporting Persor all applicable) Director	10% Owner			
(Last) 11988 EL CAM	(First) (Middle) 8 EL CAMINO REAL, SUITE 650		3. Date of Earliest Transaction (Month/Day/Year) 03/06/2015	X	Officer (give title below) VP, Corporate Ope	Other (specify below) prations			
(Street)		,	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
SAN DIEGO	CA	92130		X	Form filed by One Report	ing Person			
(City)	(State)	(Zip)			Form filed by More than C Person	Dne Reporting			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

### 2A. Deemed Execution Date, 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 6. Ownership Form: Direct 1. Title of Security (Instr. 3) 2. Transaction 3. Transaction 5. Amount of 7. Nature of Date Securities Indirect (Month/Day/Year) Beneficial if anv Code (Instr. Beneficially (D) or Indirect Owned Following (Month/Day/Year) 8) (l) (Instr. 4) Ownership Reported (Instr. 4) (A) or (D) Transaction(s) (Instr. 3 and 4) Code v Amount Price 10,000 **Common Stock** 03/06/2015 м A \$1.12 12,895(4) D 03/06/2015 **\$8** 16,895(4) Common Stock Μ 4.000 A D **S**<sup>(1)</sup> Common Stock 03/06/2015 10,000 D \$23.29<sup>(2)</sup> 6,895(4) D 03/06/2015 **S**<sup>(1)</sup> 2,895(4) D Common Stock 4,000 D \$22.48(3)

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock option (right to buy)	\$1.12	03/06/2015		М			10,000	01/27/2013 <sup>(5)</sup>	06/14/2022	Common Stock	10,000	\$0.00	165,738	D	
Stock option (right to buy)	\$8	03/06/2015		М			4,000	01/29/2015 <sup>(6)</sup>	01/28/2024	Common Stock	4,000	\$0.00	161,738	D	

### Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 plan adopted by the reporting person on September 16, 2014.

2. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$22.41 to \$25.10 per share, inclusive. The reporting person undertakes to provide to Celladon Corporation, any security holder of Celladon Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

3. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$22.25 to \$22.69 per share, inclusive. The reporting person undertakes to provide to Celladon Corporation, any security holder of Celladon Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

4. The amount includes shares purchased under Celladon Corporation's employee stock purchase plan prior to the reported transaction.

5. 25% of the shares subject to the option vested and became exercisable on the one year anniversary of January 27, 2012 (the "Vesting Commencement Date"), and 1/48th of the shares vest in equal monthly installments thereafter, over the remaining three years.

6. 25% of the shares subject to the option vested and became exercisable on the one year anniversary of January 29, 2014 (the "Vesting Commencement Date"), and 1/48th of the shares vest in equal monthly installments thereafter, over the remaining three years.

**Remarks:** 

<u>/s/ Rebecque J. Laba</u>

03/09/2015 Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.