SEC Form 3

FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*       2. Date of Event Requiring Statement (Month/Day/Year)         03/22/2016       03/22/2016				nent	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Eiger BioPharmaceuticals, Inc.</u> [ EIGR ]							
(Last) (First) (Middle) 575 HIGH STREET, SUITE 201					4. Relationship of Reporting Pers (Check all applicable) X Director X Officer (give title below)					5. If Amendment, Date of Original Filed (Month/Day/Year)		
(Street)										6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
PALO ALTO	CA	94301										y More than One
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Beneficially Owned												
1. Title of Security (Instr. 4)						Amount of Securities neficially Owned (Instr. 4) Sorrect (D) or Indirect (I) (Instr. 5)		ct (D)	4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock					1,587,960			Ι		See footnote 1 <sup>(1)</sup>		
Common Stock					11,632			Ι		See footnote 2 <sup>(2)</sup>		
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 4)       2. Date Exercisable a         Expiration Date (Month/Day/Year)			ate	nd 3. Title and Amount of Secur Underlying Derivative Secur			ty (Instr. 4) Conv		ersion C ercise F	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title			Amount or Number of Shares	Deriva Securi	tive	or Indirect (I) (Instr. 5)	

### Explanation of Responses:

1. Shares are held by Vivo Ventures Fund VI, L.P. ("Fund VI"). The Reporting Person is a managing partner at Vivo Ventures VI, LLC, the general partner of both Fund VI and Vivo Ventures VI Affiliates Fund, L.P. ("Affiliates Fund,"). The Reporting Person has shared voting or investment power over the shares held by Fund VI and Affiliates Fund and disclaims beneficial ownership of such shares except to the extent of any pecuniary interest therein.

2. Shares are held by Vivo Ventures VI Affiliates Fund, L.P. ("Affiliates Fund"). The Reporting Person is a managing partner at Vivo Ventures VI, LLC, the general partner of both Vivo Ventures Fund VI, L.P. ("Fund VI") and Affiliates Fund. The Reporting Person has shared voting or investment power over the shares held by Fund VI and Affiliates Fund and disclaims beneficial ownership of such shares except to the extent of any pecuniary interest therein.

#### **Remarks:**

### <u>/s/ Edgar Engleman</u>

porting Person Date

\*\* Signature of Reporting Person

03/22/2016

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.